



FR920010023US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. Barturen et al.

Group Art Unit: 1751 : IBM Corporation
Examiner: N/A : Intellectual Property Law
Serial No.: 09/943,563 : Dept. IQ0A, Bldg. 040-3
Filed: 08/30/01 : 1701 North Street
Title: INTEGRATED SYSTEM AND METHOD : Endicott, NY 13760
FOR THE MANAGEMENT OF A COMPLETE
END-TO-END SOFTWARE DELIVERY
PROCESS

Commissioner For Patents
P.O.. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

For ensuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

[] U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

[] A concise explanation of the relevance of the non-English language references is attached:

20 1751

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): M. Barturen et al.

Docket No.

FR920010023US1

Serial No.

09/943,563

Filing Date

08/30/2001

Examiner

NA

Group Art Unit

1751



Invention:

INTEGRATED SYSTEM AND METHOD FOR THE MANAGEMENT OF A COMPLETE END-TO-END SOFTWARE DELIVERY PROCESS

I hereby certify that this Supplemental IDS, PTO-1449 form & references, and Certificate of Mailing
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

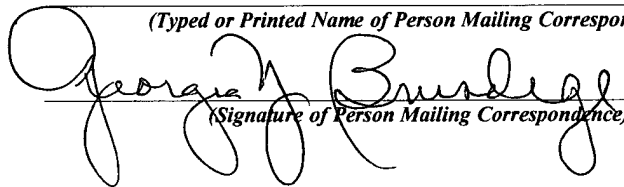
Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

on 10/30/2003

(Date)

Georgia Y. Brundage

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

- ☐ The relevance of the references is indicated on the enclosed copy of the _____
Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, the fee box below OR one of the last two boxes must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

- ☐ The Director is hereby authorized to charge the \$180.00 fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 09-0457.



CERTIFICATION

- [X] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [] The undersigned certifies that no item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

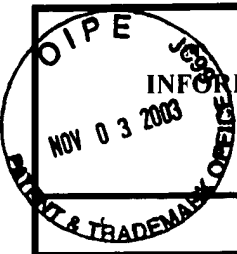
The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0457. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

Dated: 10/29/01

By: John R. Pivnichny
John R. Pivnichny
Reg. No. 43,001

Telephone: 607-429-4358
Fax: 607-429-4119



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

FR920010023US1

Application Number

09/943,563

Applicant(s)

M. Barturen et al.

Filing Date

08/30/2001

Group Art Unit

1751

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 00/73901 A1	12/7/2000	WO			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.